Explanatory note on the CGIAR IA Report

Some donors commented on the high level report from the Consortium to the FC and would like more explicit details of what it will contain - i.e. what is confidential and what is not.

1. Relevant provisions contained in draft CGIAR IA Principles

Article 10.3 provides that: "The Consortium shall provide annually to the Fund Council a high level report," satisfactory to the Fund Council, regarding the implementation of these CGIAR IA Principles during the preceding year ("CGIAR IA Report"). The CGIAR IA Report shall, in particular, contain general and aggregated information on the Limited Exclusivity Agreements, Restricted Use Agreements or IP Applications that were concluded/made by the Consortium and the Centers, subject to any confidentiality obligations of the Consortium and/or the Centers. The CGIAR IA Report shall be developed by the Consortium after consultation with the Centers involved and the FC IP Group".

Article 10.4 provides that "The CGIAR IA Report shall be made available to the public".

2. Explanations on content

This CGIAR IA Report will contain a summary of intellectual asset management activity in the CGIAR system during the preceding year, which could include information such as:

- Legal/IP capacity in Centers;
- Consortium Legal/IP Network activities, including the development of tools and best practices;
- The number of Limited Exclusivity Agreements entered into by Centers, and broadly, what these agreements are anticipated to enable Centers to achieve; what type of markets are covered, average duration of exclusivity; examples derived from specific agreements if such information is or can be made public;
- The number of Restricted Use Agreements entered into by Centers, and broadly, what these agreements are anticipated to enable Centers to achieve; examples derived from specific agreements if such information is or can be made public;
- o The number of PVPs registered: and broadly, their scope and general justifications;
- o The number of patent applications: and broadly, their scope and general justifications;
- o Any relevant highlights, trends

To put this report together, the Consortium will use the Center IA reports as a basis, but will consult Centers in order to ensure that all information contained in the high-level report is or can be made public based on the standards set forth in the CGIAR IA Principles.

As indicated in footnote 1 of Article 10.3, more details on the content of this report will be developed in the Implementation Guidelines.

¹ Details to be developed in Implementation Guidelines

² Such general information is not expected to be agreement- or IP Application-specific